

# CONNIE COMPLIANCE

## COMPUTER SKILLS

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- Familiar with banking industry applications, including Market Manager, Workdesk and Mantas.
- Experienced with regulatory industry application Auditor Assistant.

## PROFESSIONAL EXPERIENCE

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**2007 - Present**      **Generic National Bank**      Chicago, IL

***AVP Change Consultant*** (*Moneybags Bank to Generic National Bank ownership transition project*)

- Continuously evaluate corporate ownership transition projects to identify and document risks and cross-functional impacts, and ensure adherence to transition guidelines.
- Offer guidance, escalate key concerns and communicate project changes to transition teams.
- Document and communicate review findings, status updates and project milestones.
- Conduct readiness reviews to ensure associates received adequate training for new positions.

**2005 - 2007**      **Moneybags Bank**      Chicago, IL

***AVP Compliance Auditor***

- Assisted with planning, execution and completion of compliance audits on time and budget.
- Validated, documented and communicated audit findings to management.
- Documented results of testing procedures and control environments, conducted root cause analysis to identify deficiencies and made recommendations to mitigate issues identified.
- Maintained current knowledge of U.S. and international laws and regulations by routine participation in BSA/AML and compliance training programs.

**2005**      **Piggy Bank**      Elmhurst, IL

***Senior Compliance Risk Auditor***

- Led compliance monitoring projects through completion on time and within budget.
- Researched state and federal regulatory requirements to develop review plans and test procedures.
- Documented control environments, identified potential control deficiencies and provided recommendations to mitigate issues identified.
- Assembled and referenced work papers and completed compliance reports.

**2001-2005**      **US Department of the Treasury**      Arlington Heights, IL

*Comptroller of the Currency Field Office*

***Associate National Bank Examiner***

- Conducted reviews of nationally chartered financial institutions to evaluate compliance with federal laws and regulations and adherence to consumer compliance laws and regulations.
- Generated detailed reports to support audit findings, made recommendations, cited violations and wrote publicly available Performance Evaluations for CRA examinations.
- Examiner-in-Charge for Community Reinvestment Act (CRA) and Bank Secrecy Act examinations.

## EDUCATION & CERTIFICATIONS

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2006      Association of Certified Anti-Money Laundering Specialist (ACAMS)  
*Certified Anti-Money Laundering Specialist (CAMS)*

2000      Northern Illinois University      Dekalb, IL  
*Master of Accounting Science*      *Specialization: General Accounting*

1999      Southern Illinois University at Carbondale      Carbondale, IL  
*Bachelor of Science*      *Major: Accounting*      *Minor: Psychology*